PTO/SB/08A(&B) (10-01) roved for use through 10/31/2002. OMB 0651-0031

U.S. Patent and Trademark Office: U.S. DEPARTMENT OF COMMERCE
Under the Paperwork Reduction Act of 1995, no persons are required to respond to a collection of information unless it displays a valid OMB control number.

Complete if Known Substitute for form 1449A/PTO 10/222,279 10/817,030 Application Number INFORMATION DISCLOSURE 8/16/2002 Filing Date STATEMENT BY APPLICANT Van Dun First Named Inventor 1714 Group Art Unit Examiner Name (use as many sheets as necessary) Sheet 43225.44575USPT **Attorney Docket Number** U.S. PATENT DOCUMENTS Document Number Pages, Columns, Lines, Where Examiner Number-Kind Code 2 (if known) **Publication Date** Name of Patentee or Relevant Passages or Relevant MM-DD-YYYY Applicant of Cited Document Figures Appear 1 US-3,456,044 07/15/1969 Pahika Evans 2 US-3,485,706 12/23/1969 ~ 3 US-3,645,992 02/29/1972 Elston へ 4 03/30/1982 US-4,322,027 Reba 5 US-4,340,563 07/20/1982 Appel et al. 2 6 US-4,352,849 10/05/1982 Mueller US-4,413,110 11/01/1983 7 Kavesh et al. 8 US-4,597,920 07/01/1986 Golike Q US-4,663,220 05/05/1987 Wisneski et al. 05/26/1987 10 US-4,668,566 Braun 11 US-4,798,081 01/17/1989 Hazlitt et al. 12 US-4,820,557 04/11/1989 Warren  $\approx$ 13 US-4,837,084 06/06/1989 Warren US-4,865,902 14 09/12/1989 Golika et al. US-4,927,708 05/22/1990 15 Herran et al. 16 US-4,937,299 06/26/1990 Ewen et al. ~ 17 US-4,952,451 08/28/1990 Mueller 18 US-4,963,419 10/16/1990 Lustig et al.  $\propto$ 19 US-5,008,204 04/16/1991 Stehling 20 US-5,059,481 10/22/1991 Lustig et al. 21 US-5,089,321 02/18/1992 Chum et al. FOREIGN PATENT DOCUMENTS Foreign Patent Document Pages, Columns, Lines, Where Publication Date Name of Patentee or rant Passages or Relevant 7\* Figures Appear initials No. MM-DD-YYYY Applicant of Cited Document EP 0897588(A)13 (NC 22 09/28/1983 BASE WO90/03414 A1 // 18 23 rL\_04/05/1990 Exxon Chemical OTHER PRIOR ART -- NON PATENT LITERATURE DOCUMENTS Include name of the author (in CAPITAL LETTERS), title of the article (when appropriate), title of the ۳ Examine itam (book, magazine, journal, sarial, symposium, catalog, etc.), date, page(s), volume-issue number(s), publisher, city and/or country where published. Borealis Uzveils Borstar - its New Proprietary Polyethylene Technology, Press Release, October 10, 1995. 24 ess is Fast and Repeatable, Mod. Plast. Int., V. 22, No. 10, Oct. 1992, p. 34/8, 25 MAPLESTON, P., Infrared Pro abstract only. Examiner Date

\*EXAMINER: Initial if reference considered, whether or not citation is in conformance with MPEP 609. Draw line through citation if not in conformance and not considered. Include a copy of this form with next communication to applicant.

Considered

Signature

1 Applicant's unique citation designation number (optional), 2 See Kinds Codes of USPTO Patent Documents at www.uspto.gov or MPEP 901.04. 3 Enter Office that issued the document, by the two-letter code (WIPO Standard ST.3). 4 For Japanese patent documents, the indication of the year of the reign of the Emperor must precede the serial number of the patent document. 5 Kind of document by the appropriate symbols as indicated on the document under WIPO Standard ST.16 if possible. 6 Applicant is to place a check mark here if English Translation is attached.

Burden Hour Statement: This form is estimated to take 2.0 hours to complete. Time will vary depending upon the needs of the Individual case. Any comments on the amount of time you are required to complete this form should be sent to the Chief Information Officer, U.S. Patent and Trademark Office, Washington, DC 20231. DO NOT SEND FEES OR COMPLETED FORMS TO THIS ADDRESS. SEND TO: Assistant Commissioner for Patents, Washington, DC 20231.

PTO/SB/08A(&B) (10-01)

proved for use through 10/31/2002. OMB 0651-0031

U.S. Patent and Trademark Office: U.S. DEPARTMENT OF COMMERCE

ork Reduction Act of 1995, no persons are required to respond to a collection of information unless it displays a valid OMB control number.

	010	i dio i apolitica	-نىنى				Comple	ete if	Known	
		m 1449A/PTO			Application Number 10/222,273 10/817,03			2		
INFO	DRI	MATION DIS	CLC	SUR	E	Filing Date		8/16	2002	
STA	TE	MENT BY A	PPL	IT	First Named	Inventor	Van Dun			
						Group Art Ur	nit	174	H 1711	_
		(use as many sheets as ne	cessary)			Examiner Na	ime	1	Ju Her	4
Sheet		2 of		3		Attorney Doo	ket Number	432	25.44575USPT	
			11.9	PATENT	DOCU	MENTS				
			<u> </u>	LVIEIAI	0000				ages, Columns, Lines, Where	
Examiner	Cite No. 5.	Document Number  Number-Kind Code <sup>2</sup> (if known)	Publication Date MM-DD-YYYY		Name of Patentes or Applicant of Cited Document		Relevant Passages or Relevant Figures Appear		4	
Initials	26	US-5,206,075	04/27/1993			Hodgson, Jr.				
-72	27	US-5,218,071	06/08/1993		Tsutsui et al.					
<del></del>	28	US-5,241,031	08/31/1993		Mehta					-
	29	US-5,252,017	10/12/1993		Hodel					
	30	US-5,272,236	12/21/19	93	Lai et	Lai et al.				
	31	US-5,278,272	01/11/1994			Lai et al.				-
ا نید	32	US-5,290,498	03/01/19	994	Shirak					$\dashv$
~	33	US-5.292.845	03/08/19	994	Kawas	saki et al.				
- <del>~-</del>	34	US-5,417,561	05/23/1	995	Shirak	niraki et al.				
	35	US-5,665,800	09/09/1	997	Lai et					$\dashv$
<u>~</u>	36	US-5,683,767	11/04/1	997		hiraki et al.				
	37	US-5,834,393	11/10/1	998		Jacobsen et al.				—-{}
~	38	US-5,908,679	06/01/1	999		Berthold et al.				
_2_	39	US-6,043,180	03/28/2	2000	Jacot	Jacobsen et al.				
	40	US-6,054,544	04/25/2	2000	Finlay	Finlayson et al.				-
1	41	US-6,218,472 B1	04/17/2	2001	Debra	Debras et al.				
<u>~</u> _	42	US-6,221,982 B1	04/24/2	2001	Debr	Debras et al.				
_~	43	US-6,346,575 B1	02/12/2	2002	Debras et al.					
- <del>4-</del>	44	US-6,441,096 B1	08/27/2							
$\sim$	45	USSN 60/313,176	08/17/2							
<del>-~-</del>	46	US-2002/0045711A1	04/18/	2002	Back	man et al.		<u> </u>		
			500	HOLLDAT	CNE D	OCUMENT	2			
				IGN PATI	ENID	OCOMEIAL		P	goes, Columns, Lines, Where	
		Foreign Patent Occume	Foreign Patent Document		Publication Date		Name of Patentee or		Relevant Passages or Relevant	7.
Examiner Initials	Cite No. 1	Country Code <sup>3</sup> - Number <sup>4</sup> - 10nd Code	(//known)	MM-OD-Y		Applicant of Cited Document		<del> </del>	Figures Appear	+
introdus .	47	WO 93/03/093 i i		02/18/1993		Exxon Chem		<b> </b>		┼─┤
1	48	WO 96/16092		05/30/1996		Qoy Cheffile		<b></b>		<del>├</del> ──┤
<del></del>	49	WO 96/28/480		99/19/1996		Dow Chemic		1		+-1
1	50	WO 97/19807	/	/06/05/1997		Borealis A		<del> </del>		╂━┤
	51	WO 97/33118		09/12/1997		Borealis A/S		<del>↓</del>		┰
	52	WO 97/43323		11/20/1997	7	Dow Chemi	cal		·	يبا
				NOND	TENT	LITCOATL	PE DOCUM	MEN.	rs	
L_		OTHER PRIC	JK AK [	- NUN PA	AI EN I	LIIERAIU	icle (when announce	late). titl	e of the	T
Examiner Cits Include name of the author (in CAPITAL LETTERS), title of the article (when appropriate), title of the flowing string of the article (when appropriate), title of the article (when appropriate), and the art								T.		
Initials	53	YERNAUX J., Polymer I Professitings London, 19	evelopme	ate for Dine	Suctame	Polyethylene	: The 1990s a	nd Bey ; Shel	ond, Conference Chemicals Ltd.;	1
		European Chemical Nev	vs.	7 6 C	GI 10, 4					<u> </u>
	- T	41 - 41	- 11	1 1	1		Date		11 070	
Exami	ner	1 V a. 1/ V	1/(	$\Lambda$ $\Lambda$			Considere	.d	ハーロイ	
Signat	ure		<u> </u>	VVV VVIII						and o
*EXAMINEI	R: Initial	if reference considered, whether	er or not ci	lation is in cor n to applicant.	nformance	with MPEP 60	9. Uraw Iine Un		ALGORI II INA III CARIORINARA	

considered. Include a copy of this form with next communication to applicant.

1 Applicant's unique citation designation number (optional). 2 See Kinds Codes of USPTO Patent Documents at www.uspto.gov or MPEP 901.04. 3 Enter Office that 1 Applicant's unique citation designation number (optional). 2 See Kinds Codes of USPTO Patent Documents, the indication of the year of the reign of the Emperor must issued the document, by the two-letter code (WIPO Standard ST.3). 4 For Japanese patent documents, the indication of the year of the reign of the Emperor must precede the serial number of the patent document. 5 Kind of document by the appropriate symbols as indicated on the document under WIPO Standard ST.18 if precede the serial number of the patent document. 5 Kind of document by the appropriate symbols as indicated on the document under WIPO Standard ST.18 if pressible. 6 Applicant is to place a check mark here if English Translation is attached.

Burden Hour Statement: This form is estimated to take 2.0 hours to complete. Time will vary depending upon the needs of the individual case. Any comments on Burden Hour Statement: This form is estimated to take 2.0 hours to complete. Time will vary depending upon the needs of the individual case. Any comments on the amount of time you are required to complete this form should be sent to the Chief Information Officer, U.S. Patent and Trademark Office, Washington, DC 20231.

DO NOT SEND FEES OR COMPLETED FORMS TO THIS ADDRESS. SEND TO: Assistant Commissioner for Patents, Washington, DC 20231.

PTO/SB/08A(&B) (10-01)

oved for use through 10/31/2002. OMB 0651-0031

Tracemark Office: U.S. DEPARTMENT OF COMMERCE

	Und	er the Paperwork Reduction Act of	1995, no pe	REOUR BIG LECORE	a to respo	Com	inless it displays a valid OMB control num plete if Known	7
Substitute	for for	m 1449A/PTO		Application Number 19/22/273 10/817,				
			201	ACIID				~
INF	<b>JRI</b>	MATION DIS	CL	USUN		Filing Date	8/16/2002	-4
STA	TE	MENT BY A	PPI	LICAN	IT	First Named Inventor	Van Dun	
		•	•			Group Art Unit	1714 1711	
		(use as many sheets as no	ocassarv)			Examiner Name	Nutter	
Sheet	<del></del>	3 of	1	3		Attorney Docket Number	43225.44575USPT	
		<u></u>			2001	MENTO		
			U.S	S. PATENT	DOCL	MENIS	Pages, Columns, Lines, Where	
Examiner	Cite Number-Kind Code 2 (if known)		Publication Date MM-DD-YYYY		A	Name of Patentee or pplicant of Cited Document	Relevant Passages or Relevant Figures Appear	_
Initials	54	US-6,191,227 B1	02/20/2	2001		oka, et al.		
(	55	US-6,204,349 B1	03/20/2001			nara et al.		
<del></del>	56	US-6,252,017 B1	06/26/2	2001	Debra	s et al.		
~		US-						
		US-					ļ	
		US-			ļ			
		US-			<u> </u>			
		US-	<u> </u>					
						NOUN IENTO		
			FOR	EIGN PATE	NIDO	CUMENTS	Pages, Columns, Lines, Where	T
Examiner	Cite	Foreign Patent Documen  Country Code <sup>8</sup> - Number <sup>4</sup> - Kind Code <sup>8</sup>		Publication (		Name of Patentee or Applicant of Cited Document	Relevant Passages or Relevant Figures Appear	<b>-</b> <sub>Τ</sub> Φ.
initials	No. 1	WO 98/27119		06/25/1998		Dow Chemical	<u> </u>	
	.58	WO 99(1427)		03/25/1999		Dow Chemical		
	.59	WO/01/95852		01/25/2001		Dow Chemical	<b>/</b>	<del> </del>
	60	WO 01/02480-A1		01/11/2001	7	Elenac GMB/A		+-
	61	WO 01/79347 A2	$\overline{\Lambda}$	10/25/2001		Borealis Technology QY		┼─
	.62	EP 1146078 A1	1/1	10/17/2001		Bonealis Technology QY	<b></b>	
	63	EP 1146079 A1	VI	10/17/2001		Borealis Technology OY		
	64	EP 1201711 A1		05/02/2002		Atofina Research		+-
	65	EP 1201713 A1 /		05/02/2002		Atofina Research		+-
		<u></u>		<u> </u>				
		OTHER PRIC	R ART	- NON PA	TENT	LITERATURE DOCL	IMENTS	
				ha author (la CAPII	AL LETTE	RS), title of the article (when appro	priate), uos oi uie	1 70
Examiner	Cita	it.	arin (boook, m	agazina, journal, se	arial, sympo	oskum, catalog, etc.), data, page(s),	ACMINIMATERIA	
Initials	No. 1	BERTHOLD, et al., Adva	nced Pol	ymerization Pr	ocess fo	or Tailor-Made Pipe Resins	, Plastics Pipe IX, Edinburgh,	
	"	9/1995 App. 433-441.				061	Id Deportion Stud Surf Sci	
	67	BOHMet al The Industr					ed Properties, Stud. Surf. Sci.	
		Catali, 1904, pp. 35163	eactor Me	odel-Guideline	For PE	HD Process and Product D	evelopment, Ziegler Catalysts,	1
	68	1995, 387-400.					·	
				•				
<u> </u>		M/ 1		1 1	. /			
	· ·	<del></del>	ī	<del>                                     </del>	1	Date	1/ 2/4	
Exami	ner	1 1/20/1	Λ.	1 V X	人	Conside	red   /1-0 9	
		1 V //N V / N L	, <b>, ,</b>	1 /				
Signat	ure	$(X \otimes X) \sim 0$	$\overline{}$	<u> </u>	<del></del>	THE AIRCR COS DOWN HOLD	through citation if not in conformance	e and

issued the document, by the two-letter code (WIPO Standard S1.3). 4 For Japanese patent documents, the indication of the year of the letter of the patent document. 5 Kind of document by the appropriate symbols as indicated on the document under WIPO Standard ST.16 if possible, 6 Applicant is to place a check mark here if English Translation is attached.

Burden Hour Statement: This form is estimated to take 2.0 hours to complete. Time will vary depending upon the needs of the individual case. Any comments on the amount of time you are required to complete this form should be sent to the Chief Information Officer, U.S. Patent and Trademark Office, Washington, DC 20231.

DO NOT SEND FEES OR COMPLETED FORMS TO THIS ADDRESS. SEND TO: Assistant Commissioner for Patents, Washington, DC 20231.

PTO/SB/08A(&B) (10-01)
Approved for use through 10/31/2002. OMB 0651-0031
U.S. Patent and Trademark Office: U.S. DEPARTMENT OF COMMERCE
to a collection of information unless it displays a valid OMB control number.

					· — —	Com	ploto if Knowa	
Substitute	o for fa	rm 1449A/PTO		٠		plete if Known	<u> </u>	
1217	<b>~ ~</b>	MATION DI	201	<b>`</b>	Application Number	10/222,273 6/8/7,0	30	
		MATION DI			Filing Date	8/16/2002		
STA	TE	MENT BY A	<b>NPP</b>	<b>1</b> T	First Named Inventor	Van Dun		
						Group Art Unit	1714 17 (/	
		(use as many sheets as n	ecessary	)		Examiner Name	Nutter	
Sheet		1 of		1		Attorney Docket Number	43225.44575USPT	
			1119	S. PATENT	DOCU	MENTS		
r		Document Number	1 <u> </u>	3. I A I L I I I	1	THE TOTAL TO	Pages, Columns, Lines, Where	
Examiner	Cite	Number-Kind Code 2 (if known)		dication Data	١.	Name of Patentee or	Relevant Passages or Relevant Figures Appear	
Initials	No. 1	US-6,114,483		09-05-2000 Co		oplicant of Cited Document lin et al.	r gures Appear	$\overline{}$
-/	.1	US-	03-03	2000	Cougn	iii ot di.		
		US-	<del> </del>					
		US-						
		US-	T					
		US-						
		US-			<u> </u>			
		US-		· · · · · · · · · · · · · · · · · · ·	<u> </u>			
		US-	<u> </u>		<u> </u>			
	L	US-	<u> </u>		<del> </del>			-
<u> </u>	<u> </u>	US-						
			FOR	FIGN PATE	NT DC	CUMENTS		
	1	Foreign Patent Documen				CUMENTS	Pages, Columns, Lines, Where	
Examiner	Cite		t	Publication (	Date	Name of Patentee or	Relevant Passages or Relevant	Τ <sup>0</sup>
Examiner Initials	No. 1	Country Code <sup>3</sup> - Number <sup>4</sup> - Kind Code <sup>5</sup>	t		Date			<b>⊤</b> ⁰
			t	Publication ( MM-DD-YY	Date	Name of Patentee or Applicant of Cited Document	Relevant Passages or Relevant	Τ*
	No. 1	Country Code <sup>3</sup> - Number <sup>4</sup> - Kind Code <sup>5</sup>	t	Publication ( MM-DD-YY	Date	Name of Patentee or Applicant of Cited Document	Relevant Passages or Relevant	<b>⊤</b> ⁵
	No. 1	Country Code <sup>3</sup> - Number <sup>4</sup> - Kind Code <sup>5</sup>	t	Publication ( MM-DD-YY	Date	Name of Patentee or Applicant of Cited Document	Relevant Passages or Relevant	Т6
	No. 1	Country Code <sup>3</sup> - Number <sup>4</sup> - Kind Code <sup>5</sup>	t	Publication ( MM-DD-YY	Date	Name of Patentee or Applicant of Cited Document	Relevant Passages or Relevant	Т4
	No. 1	Country Code <sup>3</sup> - Number <sup>4</sup> - Kind Code <sup>5</sup>	t	Publication ( MM-DD-YY	Date	Name of Patentee or Applicant of Cited Document	Relevant Passages or Relevant	ТФ
	No. 1	Country Code <sup>3</sup> - Number <sup>4</sup> - Nind Code <sup>6</sup> WO 00/22040	(# known)	Publication (MM-DD-YY) 04-20-2000	Date YY	Name of Patentee or Applicant of Cited Document Borealis Polymers	Relevant Passages or Relevant Figures Appear	Т4
Initials	No. 1	Country Code <sup>3</sup> - Number <sup>4</sup> - Nind Code <sup>5</sup> WO 00/22040  OTHER PRIO	(# known)  RART	Publication (MM-DD-YY 04-20-2000    - NON PA* e author (in CAPIT. gazine, journal, sei	TENT L	Name of Patentee or Applicant of Cited Document Borealis Polymers  .ITERATURE DOCUM IS), title of the article (when appropria turn, catalog, etc.), date, page(s), vol	Relevant Passages or Relevant Figures Appear  Figures Appear	T-6
Initials	No. 1 2	Country Code <sup>3</sup> - Number <sup>4</sup> - Nind Code <sup>5</sup> WO 00/22040  OTHER PRIO	R ART	Publication ( MM-DD-YY 04-20-2000  - NON PA e surflor (in CAPIT. e author (in CAPIT. number(s), publi	TENT L	Name of Patentee or Applicant of Cited Document Borealis Polymers  ITERATURE DOCUM S), title of the article (when appropria	Relevant Passages or Relevant Figures Appear  Figures Appear	
Initials	No. 1 2 Cite No. 1	Country Code <sup>3</sup> - Number <sup>4</sup> - Nind Code <sup>6</sup> WO 00/22040  OTHER PRIO Include	R ART	Publication ( MM-DD-YY 04-20-2000  - NON PA e surflor (in CAPIT. e author (in CAPIT. number(s), publi	TENT L	Name of Patentee or Applicant of Cited Document Borealis Polymers  .ITERATURE DOCUM IS), title of the article (when appropria turn, catalog, etc.), date, page(s), vol	Relevant Passages or Relevant Figures Appear  Figures Appear	
Initials	No. 1 2 Cite No. 1	Country Code <sup>3</sup> - Number <sup>4</sup> - Nind Code <sup>6</sup> WO 00/22040  OTHER PRIO Include	R ART	Publication ( MM-DD-YY 04-20-2000  - NON PA e surflor (in CAPIT. e author (in CAPIT. number(s), publi	TENT L	Name of Patentee or Applicant of Cited Document Borealis Polymers  .ITERATURE DOCUM IS), title of the article (when appropria turn, catalog, etc.), date, page(s), vol	Relevant Passages or Relevant Figures Appear  Figures Appear	
Initials	No. 1 2 Cite No. 1	Country Code <sup>3</sup> - Number <sup>4</sup> - Nind Code <sup>6</sup> WO 00/22040  OTHER PRIO Include	R ART	Publication ( MM-DD-YY 04-20-2000  - NON PA e surflor (in CAPIT. e author (in CAPIT. number(s), publi	TENT L	Name of Patentee or Applicant of Cited Document Borealis Polymers  .ITERATURE DOCUM IS), title of the article (when appropria turn, catalog, etc.), date, page(s), vol	Relevant Passages or Relevant Figures Appear  Figures Appear	
Initials	No. 1 2 Cite No. 1	Country Code <sup>3</sup> - Number <sup>4</sup> - Nind Code <sup>6</sup> WO 00/22040  OTHER PRIO Include	R ART	Publication ( MM-DD-YY 04-20-2000  - NON PA e surflor (in CAPIT. e author (in CAPIT. number(s), publi	TENT L	Name of Patentee or Applicant of Cited Document Borealis Polymers  .ITERATURE DOCUM IS), title of the article (when appropria turn, catalog, etc.), date, page(s), vol	Relevant Passages or Relevant Figures Appear  Figures Appear	
Initials	No. 1 2 Cite No. 1	Country Code <sup>3</sup> - Number <sup>4</sup> - Kind Code <sup>5</sup> WO 00/22040  OTHER PRIO Include the International Search Repo	R ART	Publication ( MM-DD-YY 04-20-2000  - NON PA e surflor (in CAPIT. e author (in CAPIT. number(s), publi	TENT L	Name of Patentee or Applicant of Cited Document Borealis Polymers  .ITERATURE DOCUM IS), title of the article (when appropria turn, catalog, etc.), date, page(s), vol	Relevant Passages or Relevant Figures Appear  Figures Appear	
Examiner Initials	Citie No. '	Country Code <sup>3</sup> - Number <sup>4</sup> - Nind Code <sup>6</sup> WO 00/22040  OTHER PRIO Include Ite	R ART	Publication ( MM-DD-YY 04-20-2000  - NON PA e surflor (in CAPIT. e author (in CAPIT. number(s), publi	TENT L	Name of Patentee or Applicant of Cited Document Borealis Polymers  LITERATURE DOCUM S), title of the article (when appropriatum, catalog, etc.), date, page(s), volution country where published.	Relevant Passages or Relevant Figures Appear  Figures Appear  Service of the sume-issue	
Initials	Citie No. 1	Country Code <sup>3</sup> - Number <sup>4</sup> - Nind Code <sup>6</sup> WO 00/22040  OTHER PRIO Include Iter International Search Repo	R ART	Publication ( MM-DD-YY 04-20-2000  - NON PA e surflor (in CAPIT. e author (in CAPIT. number(s), publi	TENT L	Name of Patentee or Applicant of Cited Document Borealis Polymers  .ITERATURE DOCUM IS), title of the article (when appropria turn, catalog, etc.), date, page(s), vol	Relevant Passages or Relevant Figures Appear  IENTS  Itel of the ume-issue	

\*EXAMINER: Initial if reference considered, whether or not citation is in conformance with MPEP 609. Uraw line through citation in not in conformance and not considered. Include a copy of this form with next communication to applicant.

1 Applicant's unique citation designation number (optional). 2 See Kinds Codes of USPTO Patent Documents at www.uspto.gov or MPEP 901.04. 3 Enter Office that issued the document, by the two-letter code (WIPO Standard ST.3). 4 For Japanese patent documents, the indication of the year of the reign of the Emperor must precede the serial number of the patent document. 5 Kind of document by the appropriate symbols as indicated on the document under WIPO Standard ST.16 if possible. 6 Applicant is to place a check mark here if English Translation is attached.

Burden Hour Statement: This form is estimated to take 2.0 hours to complete. Time will vary depending upon the needs of the individual case. Any comments on the amount of time you are required to complete this form should be sent to the Chief Information Officer, U.S. Patent and Trademark Office, Washington, DC 20231.

DO NOT SEND FEES OR COMPLETED FORMS TO THIS ADDRESS. SEND TO: Assistant Commissioner for Patents, Washington, DC 20231.